



# 2016 Arbitration Rules of the Chamber of Commerce and Industry of Southern Sweden

Contact: [skiljedom@handelskammaren.com](mailto:skiljedom@handelskammaren.com)

Adopted and in force as from 18 March 2016

Parties that in arbitration agreements refer to the arbitration rules of the Chamber of Commerce and Industry of Southern Sweden shall be considered to have agreed that these rules and any subsequently adopted amendments hereto that are in force when the arbitration proceedings begin ('the Arbitration Rules') shall be applied to the proceedings unless the parties have agreed otherwise. Previous provisions under the Rules of the Arbitration Board of the Chamber of Commerce and Industry of Southern Sweden shall be applied to arbitration proceedings that began before the commencement of the Arbitration Rules if the parties do not agree that the Arbitration Rules are to apply instead.

The Arbitration Rules are published in Swedish and English. The Swedish version prevails the English.

---

## Model clauses

### **Ordinary arbitration proceedings**

Any dispute, controversy or claim arising out of or in connection with this agreement, or the breach, termination or invalidity thereof shall be settled with final effect in accordance with the Arbitration Rules of the Chamber of Commerce and Industry of Southern Sweden. The arbitration proceedings shall take place in [...].

#### *Recommended additions:*

The language to be used in the arbitral proceedings shall be [...].

This agreement shall be governed by the substantive law of [...].

### **Expedited arbitration proceedings**

Any dispute, controversy or claim arising out of or in connection with this agreement, or the breach, termination or invalidity thereof shall be settled with final effect in accordance with the Arbitration Rules of the Chamber of Commerce and Industry of Southern Sweden. The rules on expedited arbitration proceedings shall be applied. The arbitration proceedings shall take place in [...].

#### *Recommended additions:*

The language to be used in the arbitral proceedings shall be [...].

This agreement shall be governed by the substantive law of [...].

### **Combined clause**

Any dispute, controversy or claim arising out of or in connection with this agreement, or the breach, termination or invalidity thereof shall be settled with final effect in accordance with the Arbitration Rules of the Chamber of Commerce and Industry of Southern Sweden. The rules for expedited arbitration proceedings shall be applied unless the Arbitration Board of the Chamber of Commerce and Industry of Southern Sweden

determines, taking into account the complexity of the dispute, the amount in dispute and other circumstances, that the ordinary arbitration rules are to be applied. In the latter case, the Arbitration Board shall also decide whether the arbitration tribunal shall be composed of one or three arbitrators. The arbitration proceedings shall take place in [...].

*Recommended additions:*

The language to be used in the arbitral proceedings shall be [...].

This agreement shall be governed by the substantive law of [...].

## **Arbitration Board and Secretariat**

1 The Arbitration Board of the Chamber of Commerce and Industry of Southern Sweden ('the Arbitration Board') is a body that is part of the Chamber of Commerce and Industry of Southern Sweden ('the Chamber of Commerce'). The task of the Arbitration Board, as specified in the Arbitration Rules, is to appoint arbitrators and mediators and handle other matters that are required for the conduct of arbitration proceedings under the Arbitration Rules.

2 The Arbitration Board is assisted by a secretariat ('the Secretariat'). The Secretariat is entitled to handle matters that the Arbitration Board has delegated to the Secretariat.

3 Documents for the Arbitration Board are submitted by email to:

skiljedom@handelskammaren.com.

4 The Arbitration Board consists of minimum six and maximum ten members, including a chairperson and a vice-chairperson, all of whom are appointed by the Board of the Chamber of Commerce for a term of two years.

5 The Board of the Chamber of Commerce may dismiss members. For members who resign or are dismissed during their term of office, the Board of the Chamber of Commerce shall appoint new members for the remainder of this term.

6 The Arbitration Board, the Secretariat, the person appointed as arbitrator or mediator and the person assisting these persons shall, unless the parties agree otherwise, handle the arbitration proceedings as a confidential matter.

7 The Arbitration Board is quorate with the chairperson or the vice-chairperson and two other members. The opinion supported by the majority prevails.

## **General information about arbitration proceedings**

8 The Arbitration Rules contain provisions on Ordinary Arbitration Proceedings, Expedited Arbitration Proceedings and mediation.

9 A party wishing to begin arbitration proceedings under the Arbitration Rules shall, when the arbitration proceedings begin, pay a registration fee to the Arbitration Board (see Cost Rules in Appendix 1).

10 The costs of arbitration proceedings consist of remuneration for the Arbitration Board and, where appropriate, for mediators and the arbitration tribunal in accordance with the Cost Rules in Appendix 1.

11 The Arbitration Board determines the advance on costs to be paid by the parties and when during the arbitration proceedings this is to take place. The advance payment shall correspond to the estimated costs of the arbitration proceedings and half of it shall be paid by each party unless the Arbitration Board finds that separate advance payment amounts are more appropriate. During the arbitration proceedings, the Arbitration Board may decide that additional advance on costs shall be paid.

12 The Arbitration Board determines the final costs of the arbitration proceedings.

If the arbitration proceedings are concluded before a final award is made, the Arbitration Board shall determine the costs of the arbitration



proceedings in respect of when the arbitration proceedings are concluded, the work performed and other circumstances. Mediators are entitled to their remuneration after the mediation assignment has been concluded.

If the arbitration proceedings are concluded before they have been referred to the arbitration tribunal, the Arbitration Board gives notice of the costs of the arbitration proceedings.

13 If the registration fee, advance payment or any other cost is not paid on time, the Arbitration Board shall request the party or the parties in writing to pay the amount within a specific period of time. A party is entitled to pay the counterparty's share of the costs if the latter does not pay its share within the fixed period of time. If a party pays the full amount, the arbitration tribunal may, at the request of this party, order the other party to compensate the party for its outlay in a separate award.

14 If the registration fee and costs outlined above are not paid, the Arbitration Board shall dismiss the case in full or in part. The arbitration tribunal has the same right of dismissal.

### **Ordinary arbitration proceedings**

15 Ordinary arbitration proceedings are arbitration proceedings in which the parties have not agreed, in the arbitration agreement or in any other way that the proceedings are to be expedited. Ordinary arbitration proceedings are subject to the provisions in Clauses 16-44 below.

16 Arbitration proceedings are handled in pursuance of the Swedish Arbitration Act in force from time to time, with the additions and amendments set out in

the Arbitration Rules. The Swedish Arbitration Act (1999:116) is in force at the time at which the Arbitration Rules are adopted.

17 Arbitration proceedings are considered commenced on the date on which the Arbitration Board receives a document with an explicit, unconditional request for arbitration ('the Request').

The Request shall include the following information:

- (a) statement of the names and contact details of the parties and their counsels;
- (b) a summary of the dispute;
- (c) a preliminary statement of the relief sought by the claimant; and
- (d) a copy or description of the arbitration agreement or the arbitration clause to which reference is made.

18 The Arbitration Board shall send the Request to the respondent. The respondent shall be requested to submit to the Arbitration Board, within two weeks of receipt of the Request, an answer that shall contain the respondent's position on the claimant's relief sought, a summary of the circumstances to which the respondent refers in support of its position and details of any counsel.

If the respondent wishes to object to the existence, validity or applicability of the arbitration agreement, this shall be included in the answer, giving the grounds for the objection.

However, failure to make such objections does not prevent the respondent from making such objections up to and including the submission of the statement of defence.

If the respondent wishes to make a counterclaim or claim a set-off, preliminary information on this shall be provided in the answer, giving an account of the dispute and details of what is being claimed. A counterclaim may be made and a set-off claimed only if the claim made is subject to the arbitration agreement and if the Arbitration Board finds it appropriate for such action to be taken, considering the circumstances. The Arbitration Board may delegate this assessment to the arbitration tribunal.

19 The Arbitration Board shall ensure that the respondent's answer is sent to the claimant. The claimant may be offered the opportunity to comment on the respondent's objections and claims.

20 If the respondent fails to submit an answer, this does not prevent the arbitration from proceeding.

21 The Arbitration Board may request a party to supplement its submission to the Arbitration Board. If the claimant does not comply with such a request, the Arbitration Board may decide to dismiss the case. If the respondent fails to supplement a counterclaim, the Arbitration Board may dismiss it.

22 If the Arbitration Board has ordered a party to carry out a measure within a specific period of time, the Arbitration Board may extend this period of time.

23 If it is obvious that the Arbitration Board lacks the competence to open the case for processing, the Arbitration Board shall dismiss it. The decision by the Arbitration Board not to dismiss a case does not prevent the arbitration tribunal from examining its competence.

24 Documents shall be sent by the Arbitration Board to the last known address of the addressee. Documents shall at first-hand be sent by email. The content of this provision also applies to documents sent by mediators and the arbitration tribunal.

### *Mediation*

25 After the initial exchange of documents between the parties, the proceedings continue with mediation.

26 The Arbitration Board appoints a mediator without delay. The mediator shall be impartial and independent in relation to the parties.

The mediator decides on the procedure.

27 Mediation shall take place promptly and be concluded within 30 days from the appointment of the mediator. At the request of the mediator, the Arbitration Board may extend the period by maximum 15 days.

28 If the dispute is resolved by means of mediation, the mediator may, at the request of the parties, confirm the agreement with an arbitration award, with the mediator as the arbitrator appointed jointly by the parties.

29 If the parties have agreed not to apply mediation, a party has stated in writing to the mediator or the Arbitration Board that it refuses to participate in mediation, the mediation has been concluded without the dispute having been resolved or the period of time in which the mediation is to have been concluded has ended, the arbitration proceedings continue. The submissions from each party submitted prior to mediation shall constitute the first pleas in the continued arbitration proceedings.

30 The parties shall be jointly and severally liable for the mediator's fee and disbursements. The parties shall pay half each.

#### *Appointment of arbitrators*

31 When the arbitration proceedings are to continue as outlined in Clause 29 above, an arbitration tribunal shall be appointed, after which the Arbitration Board shall refer the case to this tribunal.

32 The arbitration tribunal shall consist of three arbitrators unless the parties have agreed that the dispute is to be settled by one arbitrator.

33 If the arbitration tribunal is to consist of three arbitrators, each party shall appoint one arbitrator, and the Arbitration Board shall appoint the third arbitrator. At the request of the Arbitration Board, the parties shall notify the Arbitration Board of their choice of arbitrator within one week in writing. The arbitrator appointed by the Arbitration Board shall be the chairperson of the arbitration tribunal unless the parties agree otherwise.

34 If the parties have agreed that the dispute is to be settled by one arbitrator, the arbitrator shall be appointed by the Arbitration Board.

35 If an arbitrator appointed by a party resigns or is dismissed from their post, the party that appointed the arbitrator shall notify the Arbitration Board of their replacement within one week in writing.

36 If a party fails to appoint an arbitrator on time, the arbitrator is appointed by the Arbitration Board.

37 The Arbitration Board examines final claim for dismissal of an arbitrator in pursuance of the Swedish Arbitration Act.

38 A member of the Arbitration Board may be appointed as arbitrator or mediator. Such a member may not participate in the decision on their appointment or in any other decision by the Arbitration Board concerning the dispute in question.

#### *Consolidation*

39 If arbitration proceedings are commenced concerning legal relations in respect of which arbitration proceedings between the same parties are already in progress under the Arbitration Rules, the Arbitration Board may, at the request of a party, decide that the new claims are to be consolidated with the arbitration proceedings in progress. Such a decision may only be made after consultation with the parties and the arbitration tribunal.

#### *Preclusion*

40 A party that, during the arbitration proceedings, fails to object, without undue delay, to non-compliance with the arbitration agreement, the Arbitration Rules or other rules that are applicable to the proceedings, shall be considered to have waived the right to refer to such non-compliance.

#### *Arbitration award*

41 An award shall be made no later than six months after the case was referred to the arbitration tribunal. The Arbitration Board may extend this period of time at the request of the arbitration tribunal.

42 The award or decision by means of which the arbitration proceedings are concluded in some other way shall include an account of the costs of the arbitration proceedings. Before making the award, the arbitration tribunal shall request the Arbitration Board to approve the costs with final effect.



43 The parties shall be jointly and severally liable for the costs of the arbitration proceedings. The losing party shall be placed under an obligation to be liable for these costs between the parties unless the circumstances suggest otherwise.

44 The decision by the arbitration tribunal on remuneration for the Arbitration Board cannot be retried..

### **Expedited arbitration proceedings**

45 If, in the arbitration agreement or in some other way, the parties have agreed that the proceedings are to be expedited, the following shall apply:

- (a) the arbitration tribunal shall consist of only one arbitrator;
- (b) in addition to the Request and the answer, the parties shall submit maximum one submission each in the case, including the statement of evidence;
- (c) the time allowed for a submission may not exceed two weeks unless the arbitrator decides otherwise for specific reasons;
- (d) oral proceedings shall be conducted only if a party requests it and if the arbitrator deems it necessary; and
- (e) the award shall be made within three months after the case was referred to the arbitrator unless the Arbitration Board decides otherwise.

In other respects, the provisions above concerning ordinary arbitration proceedings shall apply.

### **Other**

46 The Chamber of Commerce, the Arbitration Board, mediators or arbitrators shall not be liable for any loss caused to a party in connection with arbitration proceedings unless the loss was caused by intent or gross negligence.